

APPENDIX C

SAMPLE

Questions used by Transparency International To Compute The Corruption Perceptions Index

NOTE:

The following questions are samples of the types of questions asked by various well-recognized entities which are then used to compile the Corruption Perceptions Index for a country (please see www.transparency.ca for additional information). These sample questions have been drawn from the *Productivity and the Investment Climate Survey (PICS)*, compiled by the Investment Climate Unit of the World Bank Group, and from the *O-Factor Survey*, compiled by the auditing firm of PricewaterhouseCoopers.

For additional examples, other such surveys used to compute the Corruption Perceptions Index include the following:

- *State Capacity Survey*, Columbia University
- *Asian Intelligence Issue*, Political & Economic Risk Consultancy
- *World Competitiveness Yearbook*, Institute for Management Development, IMD, Switzerland
- *Bribe Payers Index*, Gallup International
- *Country Risk Service and Country Forecast*, Economist Intelligence Unit
- *Nations in Transit*, Freedom House
- *Africa Competitiveness Report*, World Economic Forum.

EXAMPLES FROM QUESTIONNAIRE #1:

Productivity and the Investment Climate Survey (PICS)

Investment Climate Unit, World Bank Group

Sample Question 1:

Please tell us if any of the following issues are a problem for the operation and growth of your business. If an issue poses a problem, please judge its severity as an obstacle on a four-point scale where:

**0 = No obstacle 1 = Minor obstacle 2 = Moderate obstacle
3 = Major obstacle 4 = Very Severe Obstacle**

Corruption No Problem Degree of Obstacle
0 1 2 3 4

Sample Question 2:

On average, how many **days last year** were spent in contact (e.g. in inspections, meetings) with each of the following agencies in the context of regulation of your business? And what were the costs associated with these interactions?

	Total number of days spent in contact with agency dealing with regulation of the business.	Total Cost of Fines or seized goods	Total Gifts, Bribes Required
Tax Inspectorate			
Labour and Social Security			
Fire and Building Safety			
Sanitation/Epidemiology			
All Others			

Sample Question 3:

In many situations, firms are said to give unofficial, private payments or other benefits to public officials to gain advantages in the drafting of laws, decrees, regulations and other binding government decisions. To what extent have the following practices had a direct impact on business?

0 = No impact 1 = Minor impact 2 = Moderate impact 3 = Major impact 4 = Decisive Impact NA= Not Applicable, DK=Don't know

Degree of Impact

- a) Private payments or other benefits to legislature (e.g., council) members to affect their votes: 0 1 2 3 4 NA DK

- b) Private payments or other benefits to government employees to affect the content of government decisions: 0 1 2 3 4 NA DK

Sample Question 4:

“I am confident that the legal system will uphold my contract and property rights in business disputes.” To what degree do you agree with this statement? Do you (read 1-6)

- 1 Fully agree
- 2 Agree in most cases
- 3 Tend to agree
- 4 Tend to disagree
- 5 Disagree in most cases
- 6 Fully disagree

Sample Question 5:

Please estimate your establishment’s costs (as a percent of its total sales) of providing:

- a) Security (equipment, personnel, etc., excluding “protection payments”? _____
%
- b) Protection payments? _____
%

Sample Question 6:

- a) Please estimate the losses (as a percent of total sales) of theft, robbery, vandalism or arson against your establishment in the last year? _____
%
- b) What share of the incidents did you report to the police? _____
%
- c) Of these reported incidents, what share were solved (the perpetrator was caught, etc.)?
_____ %

EXAMPLES FROM QUESTIONNAIRE #2:

O-Factor Survey: Exploring the Impact of Opacity on the Cost of Capital
PricewaterhouseCoopers

Sample Question 1:

On a scale from 1 to 10, where “1” means never and “10” means always, how often do you estimate that the following transactions require bribes or other special payments for the transaction to occur?

a) Obtaining subsidies from government

- 1 NEVER
- 2...
- 10 ALWAYS

b) Obtaining loans from banks

- 1 NEVER
- 2...
- 10 ALWAYS

c) Registering a company

- 1 NEVER
- 2...
- 10 ALWAYS

d) Obtaining a licence or permit

- 1 NEVER
- 2...
- 10 ALWAYS

Sample Question 2:

In making business and investment decisions, how concerned are firms in your country (e.g. on-reserve) that government corruption may interfere with their business plans? Would you say they are very concerned, somewhat concerned, not very concerned or not at all concerned?

- 1. Very concerned
- 2. Somewhat concerned
- 3. Not very concerned
- 4. Not at all concerned

Sample Question 3:

In the last five years, has corruption in your country (e.g. on-reserve) increased, stayed about the same or decreased?

- 1. Increased —————→ GO TO 3-I
- 2. Stayed about the same
- 3. Decreased —————→ GO TO 3-D

3-I. To which of the following do you attribute this increase in corruption?

1. New laws
2. Deterioration of enforcement of existing laws
3. Change in judicial practices
4. Regime change or other major changes in political process

3-D. To which of the following do you attribute this decrease in corruption?
[PLEASE CHECK ALL THAT APPLY]

1. New laws
2. New enforcement initiatives
3. Change in judicial practices
4. Regime change or other major changes in political process
5. Pressure from the international community
6. Free press
7. Civil society activism.

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